

HAMILTON SECURITIES LIMITED**FIRST 20 INVESTORS REPORT
MONDAY 8 OCTOBER 2018****PARAMETERS**

Issuer Code : HSE
Report Name : TOP N REPORT
Security Group :
Security Code : HSE : HSEOB
As At Date : 08/10/2018
Top n : 20
Top Type : (N)UMBER
Top n OR First n : FIRST N
Investor Groups : N
Display Investors in Group : N
Display Address : N
Display HRN : N
Current OR Available Bal : CURRENT
Foreign / Domestic : ALL
Holding Exclusions :
Business / Effective Date : EFFECTIVE
Cross Register Reporting : No
PDF Output : Y
CSV Output : Y
Orient Capital CSV Output : N

HAMILTON SECURITIES LIMITED

**FIRST 20 INVESTORS REPORT
 MONDAY 8 OCTOBER 2018**

| <u>Rank</u> | <u>Investor</u> | <u>Current Balance</u> | <u>% Issued Capital</u> |
|---|--|------------------------|-------------------------|
| Security: HSE - FULLY PAID ORDINARY SHARES | | | |
| 1 | DAWNEY & CO PTY LIMITED | 6,069,114 | 32.02% |
| 2 | MERCANTILE INVESTMENT COMPANY LIMITED | 5,294,118 | 27.93% |
| 3 | TREPASS PTY LTD <DKML SUPER FUND A/C> | 1,897,059 | 10.01% |
| 4 | MR RONALD LANGLEY + MRS RHONDA LANGLEY | 900,000 | 4.75% |
| 5 | NATIONAL NOMINEES LIMITED | 900,000 | 4.75% |
| 6 | NOONTIDE INVESTMENTS LTD <NOONTIDE OPPORTUNITIES FUND A/C> | 900,000 | 4.75% |
| 7 | WO NOMINEES AC FUND PTY LTD | 857,999 | 4.53% |
| 8 | MR JOHN HOLT + MRS HILARY JANE HOLT | 529,412 | 2.79% |
| 9 | AUSLESE INVESTMENTS PTY LTD | 324,882 | 1.71% |
| 10 | MR ARAVIND LAL PATEL + MRS DHANLAXMI PATEL | 108,000 | .57% |
| 11 | HSBC CUSTODY NOMINEES (AUSTRALIA) LIMITED | 100,140 | .53% |
| 12 | NORTH SHORE CUSTODIANS PTY LIMITED <CRAIG FAMILY A/C> | 45,713 | .24% |
| 13 | MR JULIAN DAVID CONSTABLE <TRADING A/C> | 31,400 | .17% |
| 14 | A & M SALTER NOMINEES PTY LTD <ALF SALTER AND ASSOCIATES SUPERFUND A/C> | 27,428 | .14% |

HAMILTON SECURITIES LIMITED
**FIRST 20 INVESTORS REPORT
 MONDAY 8 OCTOBER 2018**

| <u>Rank</u> | <u>Investor</u> | <u>Current Balance</u> | <u>% Issued Capital</u> |
|-------------|---|----------------------------|-----------------------------|
| 15 | A&M SALTER NOMINEES PTY LTD <ALF SALTER & ASSOC S/F A/C> | 26,357 | .14% |
| 16 | HENLEY UNDERWRITING & INVESTMENT COMPANY PTY LIMITED | 25,000 | .13% |
| 17 | HAMILTON ASSET MANAGEMENT LIMITED <NEWCASTLE SEC AND GEN A/C> | 23,000 | .12% |
| 18 | MR KOK TONG YEO + MS SHIRLEY TAN | 22,500 | .12% |
| 19 | MR SIMON PLUNKETT <SIMON PLUNKETT FAMILY A/C> | 18,450 | .10% |
| 20 | MR DEAN HENRY STONE + MRS CAROLE JULIA STONE <THE STONE SUPER FUND A/C> | 16,500 | .09% |

| | | | |
|--------------------------|-------------------|-------------------------|---------------|
| TOTAL FOR TOP 20: | 18,117,072 | Investors 20 | 95.59% |
|--------------------------|-------------------|-------------------------|---------------|

| | | | |
|-------------------------------|-------------------|-------------------------|----------------|
| TOTAL IN THIS REPORT: | 18,117,072 | Investors 20 | 95.59% |
| TOTAL OTHER INVESTORS: | 836,344 | 182 | 4.41% |
| GRAND TOTAL: | 18,953,416 | 202 | 100.00% |

HAMILTON SECURITIES LIMITED

**FIRST 20 INVESTORS REPORT
 MONDAY 8 OCTOBER 2018**

| <u>Rank</u> | <u>Investor</u> | <u>Current Balance</u> | <u>% Issued Capital</u> |
|---|---|------------------------|-------------------------|
| Security: HSEOB - B CLS OPTION \$0.10 30/11/19 | | | |
| 1 | FAMENZ NOMINEES PTY LIMITED <THE PIRILLO INVESTMENT A/C> | 10,637 | 32.96% |
| 2 | BBN CAPITAL PTY LTD | 3,297 | 10.22% |
| 3 | FUGGLE NOMINEES PTY LIMITED <FUGGLE SUPER FUND A/C> | 3,297 | 10.22% |
| 4 | EST MISS MARY GRAHAM NEILD | 3,297 | 10.22% |
| 5 | ANNA PIRILLO <OLIVIA & SOFIA PIRILLO A/C> | 3,297 | 10.22% |
| 6 | UBR NOMINEES PTY LTD <RAETZE SUPER FUND A/C> | 3,297 | 10.22% |
| 7 | MARGIEMAC PTY LTD <MJM SUPER FUND A/C> | 2,828 | 8.76% |
| 8 | MRS LYNETTE FARMER | 942 | 2.92% |
| 9 | MR JOHN PATRICK RUSSELL + MRS GILLIAN PATRICIA RUSSELL | 522 | 1.62% |
| 10 | MISS JADE CATHERINE BARR | 428 | 1.33% |
| 11 | MR TENG HOW KHAW | 428 | 1.33% |

HAMILTON SECURITIES LIMITED

**FIRST 20 INVESTORS REPORT
 MONDAY 8 OCTOBER 2018**

| <u>Rank</u> | <u>Investor</u> | <u>Current Balance</u> | <u>% Issued Capital</u> |
|-------------|---------------------------------------|------------------------|-------------------------|
| 12 | J P MORGAN NOMINEES AUSTRALIA LIMITED | 1 | |

| | | Investors | |
|-------------------------------|---------------|-----------|----------------|
| TOTAL IN THIS REPORT: | 32,271 | 12 | 100.00% |
| TOTAL OTHER INVESTORS: | 0 | 0 | .00% |
| GRAND TOTAL: | 32,271 | 12 | 100.00% |

end of report